

# Design, data management, and population baseline characteristics of the PERFORM magnetic resonance imaging project

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**Abstract** Quantitative information from magnetic resonance imaging (MRI) may substantiate clinical findings and provide additional insight into the mechanism of clinical interventions in therapeutic stroke trials. The PERFORM study is exploring the efficacy of terutroban versus aspirin for secondary prevention in patients with a history of ischemic stroke. We report on the design of an exploratory longitudinal MRI follow-up study that was performed in a subgroup of the PERFORM trial. An international multi-centre longitudinal follow-up MRI study was designed for different MR systems employing safety and efficacy readouts: new T2 lesions, new DWI lesions, whole brain volume change, hippocampal volume change, changes in tissue microstructure as depicted by mean diffusivity and

fractional anisotropy, vessel patency on MR angiography, and the presence of and development of new microbleeds. A total of 1,056 patients (men and women  $\geq 55$  years) were included. The data analysis included 3D reformation, image registration of different contrasts, tissue segmentation, and automated lesion detection. This large international multi-centre study demonstrates how new MRI readouts can be used to provide key information on the evolution of cerebral tissue lesions and within the macrovasculature after atherothrombotic stroke in a large sample of patients.

**Keywords** MRI · Lesion load · Brain atrophy · Microbleeds · PERFORM · Longitudinal brain disease progression

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On behalf of the PERFORM Study Investigators. Investigators are listed in Appendix.

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PERFORM: Prevention of cerebrovascular and cardiovascular Events of ischemic origin with terutroban in patients with a history of ischemic stroke or transient ischaemic attack.

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## Introduction

During the last 20 years, novel magnetic resonance imaging (MRI) techniques have emerged that allow the non-invasive

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and rapid assessment of brain functioning and cerebral tissue lesions [1]. The MRI is now utilized as the primary imaging modality in major stroke centres to detect and measure cerebral tissue lesions as well as changes in the vascular structure [2]. Moreover, it becomes an accepted feature of clinical trials in a variety of neurological disorders, and particularly in stroke. New MRI markers of cerebrovascular diseases (microbleeds, diffusion) have been recently evaluated offering reliable parameters of assessment of clinical outcome [3, 4]. There are few longitudinal neuro-radiological data assessed in large stroke trials, which mostly are based on the clinical evaluation. In this context the PERFORM (Prevention of Cerebrovascular and Cardiovascular Events of Ischemic Origin with Terutroban in Patients with a History of Ischemic Stroke or Transient Ischemic Attack) MRI project was designed to depict by MRI structural and functional information on the evolution of cerebral atherothrombotic processes after a recent ischemic stroke. The aim of this major sub study is to provide a longitudinal assessment of multiple MRI parameters in a large subgroup of a post stroke population with a follow-up of 2 years. The rationale and design of the PERFORM study, which includes 19,119 patients randomly allocated either to terutroban, a specific thromboxane prostaglandin (TP) receptor antagonist [5], 30 mg/day, or to aspirin 100 mg/day, have been described elsewhere, together with the characteristics of the whole population at baseline [6, 7]. Herein we report the design, framework and conduct for this complex international multi-centre longitudinal study. In particular, the MRI methodology chosen and the data that can be obtained in such a large MRI study are presented.

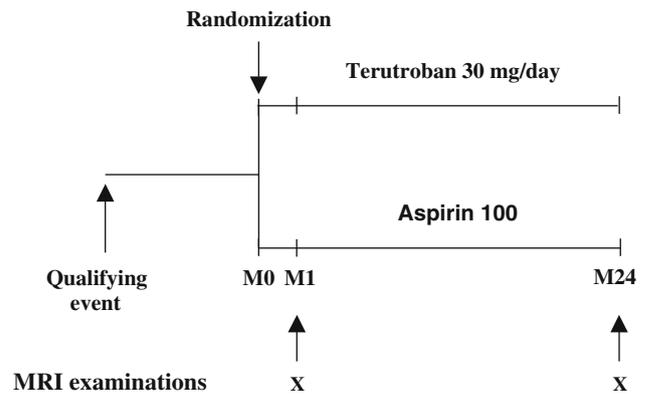
## Design

### Subjects

The patients included in the study were aged  $\geq 55$  years, had a cerebral or retinal infarction in the last 3 months preceding inclusion or TIA in the last 8 days, and were stable at inclusion. They did not have any MRI contraindication such as known risk for exposure to high magnetic fields (e.g., pacemakers, implanted ferromagnetic devices, metallic implants, metal fragments, cochlear implants, brain and spinal cord stimulators), severe claustrophobia and difficulty lying in supine position. MRI quality check performed at M1 was validated by the imaging core lab of BioClinica.

### Study scheme

The trial profile of the PERFORM MRI project is shown in Fig. 1. Two MRIs were planned to be performed in each patient included in the study: the first at month 1 (M1),



**Fig. 1** The trial profile of the PERFORM MRI project

1–4 months after the qualifying clinical event and the second at month 24 (M24).

Participants were fully informed and written consent was obtained according to local regulatory requirements.

### MRI acquisition

Before the initiation of the study, a total of 48 MRI sites from 16 countries (11 from Europe, and one each from Australia, Canada, Brazil, Singapore and Taiwan) were selected. MRI scans were performed on 1.5 Tesla scanners in 42 sites and on 3T scanners in six sites.

The MRI protocol was implemented at each site following imaging parameter suggestions to ensure standardization across sites and different MRI systems, and to maximize consistency and comparability between data from different manufacturers. Numbers, vendors, models and field strengths of all MRI machines used are listed in Table 1. Each site was qualified using ACR phantom scans [8], to monitor image quality, in particular for geometrical distortions and adherence to the study protocol. The phantom scans were to be repeated yearly and after each significant software and hardware upgrade. Ten machines had a major hardware upgrade including system change in six cases, but due to phantom validation we could minimize as much as possible the effect of such changes that affected a relatively small number of patients. All scans included transverse fluid attenuated inversion recovery (FLAIR), gradient-echo T2\*-weighted (T2\*-weighted), diffusion weighted imaging (DWI) (three directions), 3D time-of-flight (TOF) MR angiography and high-resolution sagittal 3DT1 sequences. For sites with diffusion tensor imaging, transverse DTI (six directions) data were also performed. The total acquisition time was kept reasonably low (from 30 to 45 min, according to the MRI system) to minimize patient's discomfort. Two-dimensional sequences were made of 5-mm thick contiguous slices with a 240 mm FOV and at least a 192 × 256 matrix (128 × 128

**Table 1** Numbers, vendors, models and field strengths of all MRI machines used

Number	Vendor	Model	Field
17	GE	Signa Excite	1.5
2	GE	Signa Excite	3
7	GE	Signa HDx	1.5
5	GE	Signa HDxt	1.5
5	GE	Signa Horizon	1.5
1	GE	Signa Infinity	1.5
5	Philips	Achieva	1.5
1	Philips	Achieva	3
9	Philips	Intera	1.5
4	Philips	Intera	3
1	Philips	Intera Achieva	1.5
5	Philips	Intera Achieva	3
1	Philips	Gyrosan Intera	1.5
12	Siemens	Avanto	1.5
6	Siemens	Sonata	1.5
8	Siemens	Symphony	1.5
7	Siemens	Symphony Tim	1.5
1	Siemens	Symphony Vision	1.5
3	Siemens	Trio Tim	3
1	Siemens	Vision Plus	1.5
1	Picker/Marconi	Edge Eclipse	1.5

for DWI and DTI). TOF-MRA sequence was made of 1.6-mm thick slices with a 200 mm FOV and at least a  $256 \times 256$  matrix. The 3DT1 protocol was chosen to follow ADNI recommendations [9] (1.2-mm thick slices, 240 mm FOV,  $192 \times 192$  matrix), with slight modifications to reduce scan time.

#### Image management and reading sessions

All scans were centralized by BioClinica and quality was controlled to check for protocol compliance and image quality. In case of significant quality issues, repeat scans were requested. A MRI examination of insufficient quality at M1 excluded the patient from the study. For follow-up timepoints, only image data of sufficient quality were planned to be used for analysis.

After centralization and quality control and before submission to the readers, the image data were processed by the core lab. The aim of the image processing was to prepare the image data for centralized evaluation and to pre-detect the different structures of interest (such as white matter lesions, brain parenchyma, hippocampus) to improve the efficiency and the quality of centralized evaluations. This included various post-processing algorithms, e.g., 3D reformation, image registration, tissue

segmentation, automated lesion detection to ensure best possible comparability. After these preparatory steps, image evaluations were carried out under supervision of BioClinica at remote sites on dedicated workstations by independent neuro-radiologists unaware of clinical information using a standardized procedure.

#### MRI assessment

Focal tissue changes related to the vascular pathology were to be assessed from M1 and M24 on FLAIR (new incidental infarcts and other white matter changes and changes of lesion volume), T2\* (microbleeds identified as hypointense lesions) and DWI (incidental acute infarcts at M24). Changes in brain volume occurring between M1 and M24 to assess global brain atrophy and hippocampal were planned to be evaluated on the 3DT1 data set. Brain microstructural tissue changes were to be assessed from M1 and M24 on diffusion tensor imaging (DTI) using apparent diffusion coefficient (ADC) and fractional anisotropy (FA) maps.

#### Lesion load

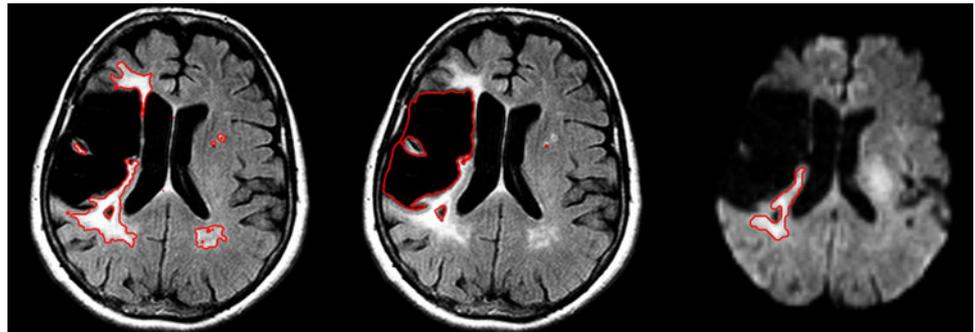
Lesion load assessment on FLAIR sequences has been selected as FLAIR was previously recognized as more sensitive and specific than conventional or fast spin echo T2-weighted magnetic resonance imaging (MRI) for detecting lesions in the brain of patients with ischemic brain disease [10]. Its major advantages over T2 are a better sensitivity for small infarctions close to the sulci and a better differentiation between Virchow–Robin spaces, old lacunes and other white matter lesions [11]. Diffusion weighted imaging (DWI) was chosen as known to be very sensitive to detect acute ischemic stroke events [12].

Hyperintense FLAIR lesions, hypointense FLAIR lesions and hyperintense DWI lesions were pre-detected using an unsupervised 3D segmentation algorithm on FLAIR and DWI isotropic images after skull removal, and manually validated prior to submission to the readers (Fig. 2).

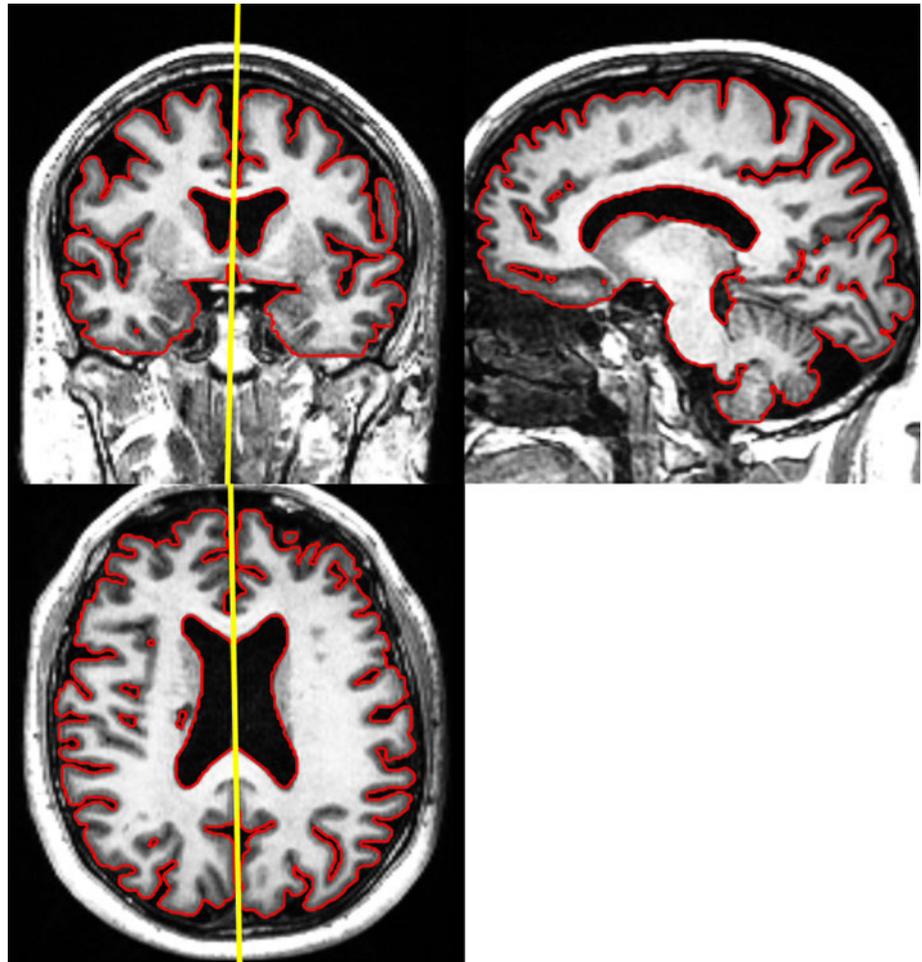
#### Brain and hippocampal atrophy

Brain parenchyma was pre-detected using a 3D segmentation algorithm on 3DT1 images after removal of the skull by 3D deformable registration of a brain atlas, and manually validated prior to submission to the readers (Fig. 3). Left and right brain volumes were derived from the total brain volume results using the interhemispheric plane as detected at M1 on sagittal 3DT1 images. These surrogate measurements allowed us to measure the impact of brain

**Fig. 2** Lesion detection. From left to right, hyperintense FLAIR lesions, hypointense FLAIR lesions, and hyperintense DWI lesions were pre-detected using an unsupervised 3D segmentation algorithm after skull removal, and manually validated prior to submission to readers



**Fig. 3** Brain parenchyma detection. Brain parenchyma contours (in red) was pre-detected using a 3D segmentation algorithm on 3DT1 images by 3D deformable registration of a brain atlas, after skull removal, and manually validated prior to submission to the readers. Interhemispheric plane is shown in yellow



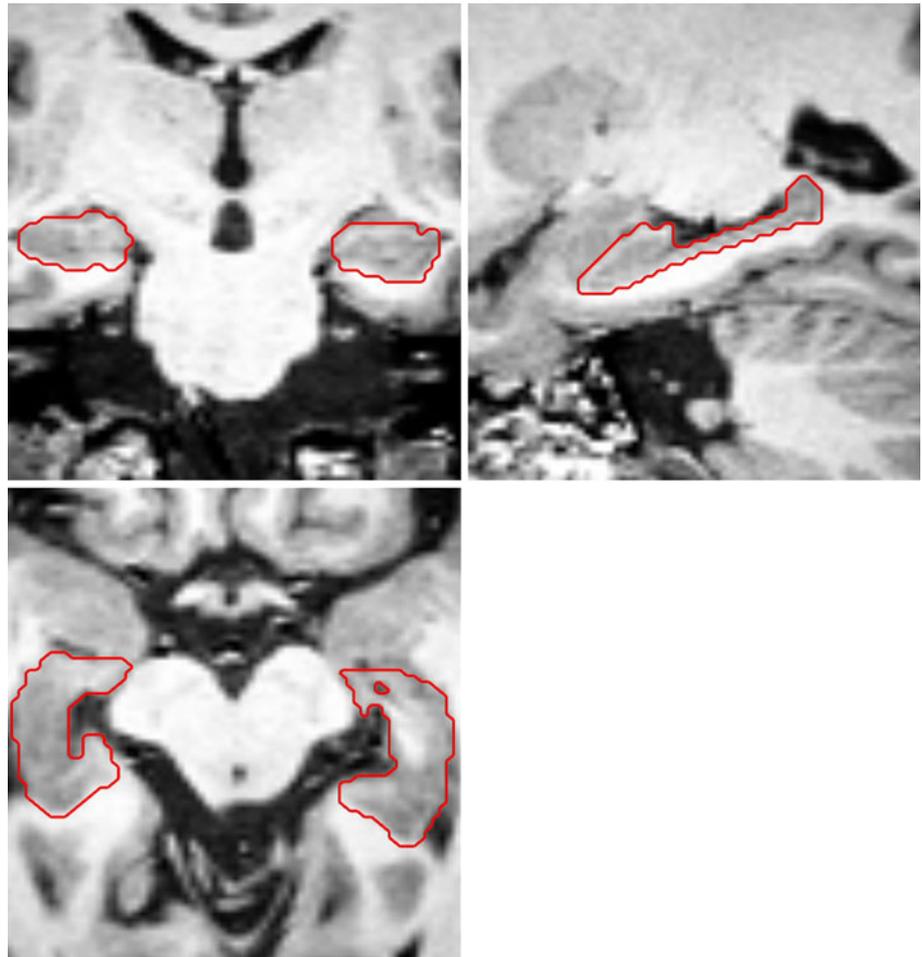
atrophy with more accuracy on the contra-lateral hemisphere, as the initial infarct and surrounding tissue changes did not affect this measurement.

The Jacobian integration, a quantitative technique based on a 3D non-rigid matching of baseline and follow-up MRI examinations [13] was used for the assessment of the longitudinal brain volume changes. As a registration-based technique, it requires less manual interactions with respect to a segmentation-based technique or the boundary shift

integral [14, 15] and allows the detection of small brain volume changes [16].

The hippocampal volume was defined as the complex interfolded layers of the cornu ammonis (grey matter), the dentate gyrus (grey matter), the alveus (white matter), the subiculum (grey matter) and the fimbria (white matter). The hippocampal–amygdalar boundary was identified with reference to the temporal horn of the ventricles and, where possible, to the presence of the alveus. Hippocampal

**Fig. 4** Hippocampus detection. Hippocampal contours (in red) were pre-detected using a semi-automatic technique prior to submission to the readers for confirmation



contours were pre-detected using a semi-automatic technique prior to submission to the readers for confirmation (Fig. 4).

For both brain parenchyma and hippocampal masks, 3DT1 data were displayed in a multiplanar reformatted mode so that the readers were able to use the sagittal (native), coronal and transverse orientations when correcting the pre-detected masks.

The volume of the intracranial cavity was used to normalize the different volumetric measurements. The T2\* sequence was used for this purpose as it clearly depicts external CSF. Given that the full brain coverage could not be achieved for all patients (24–28 slices of 5 mm were used), the 3DT1 sequence was automatically coregistered to T2\* and used to manually complete for potentially missing upper and lower slices, and correct for T2\*-related artifacts (Fig. 5).

#### Microbleeds

Cerebral microbleeds [17, 18] were defined as punctuate, homogeneous, rounded, hypointense lesions smaller than

5 mm in size, visualised on T2\* sequences. They were counted throughout the brain by the readers (Fig. 6). Hypointense lesions in the subarachnoid space were considered likely to represent adjacent pial blood vessels and, therefore, were not included in the analysis. Symmetrical hypointensities in the globi pallidi (likely to represent calcifications or iron deposition) and flow voids from cortical vessels were also disregarded.

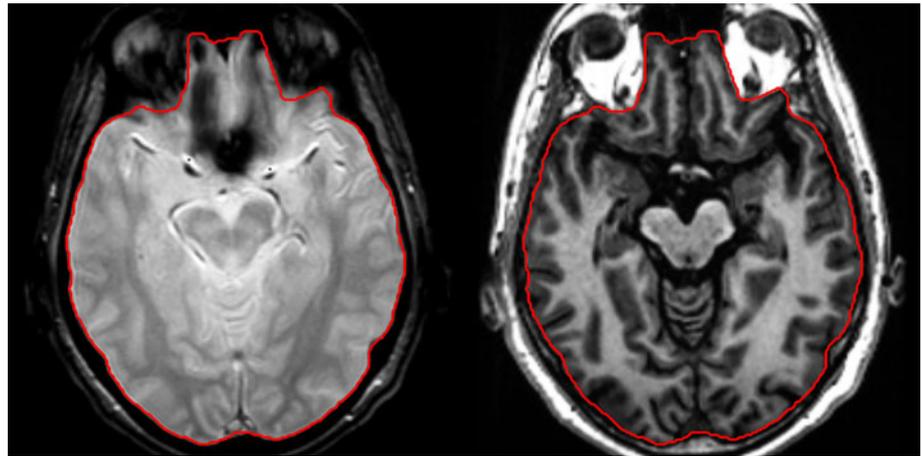
Cerebral microbleeds were also categorized using the following classification: cortical or cortico-subcortical and juxtacortical (<5 mm from cortex) on one hand, or deep and infratentorial (including brainstem, cerebellum, thalamus, etc.) on the other hand.

#### Brain microstructural tissue changes

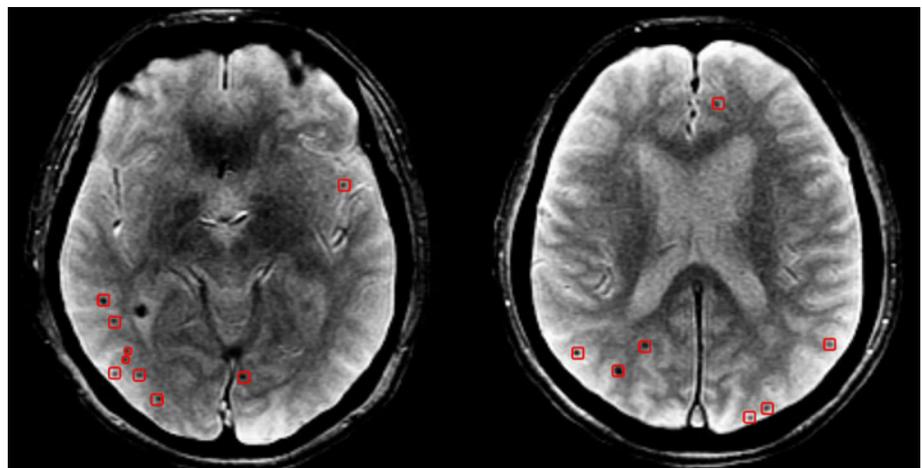
Diffusion tensor imaging (DTI) is a magnetic resonance imaging technique that measures the directionally dependent rate of water self-diffusion in each image voxel.

Subcortical white matter ischemic injury causes reductions in the oriented organization of white matter pathways

**Fig. 5** Intracranial cavity detection. The T2\* sequence was used to detect the intracranial cavity contours (in red) as it clearly depicts external CSF (*left*). Given the lack of full brain coverage for all patients the 3DT1 sequence was automatically coregistered to T2\*, resliced in the axial plane, and used to manually complete for potentially missing upper and lower slices (*right*)



**Fig. 6** Microbleeds assessment. Cerebral microbleeds, defined as punctuate, homogeneous, rounded, hypointense lesions smaller than 5 mm in size, visualised on T2\* sequences, (in red), were counted throughout the brain by the readers, and classified as cortical, cortico-subcortical, juxtacortical or deep and infratentorial



partly due to demyelination and fiber loss with a corresponding reduction in anisotropy [19].

DTI is sensitive to these changes in anisotropy, making it a powerful *in vivo* imaging tool for studying the microstructural integrity of cerebral white matter [20].

The apparent diffusion coefficient (ADC) which measures the rate of diffusion in each image voxel, and fractional anisotropy (FA), a measure of the extent to which the diffusion is directionally restricted are used as their scalar values seem to be more robust and suited to group comparisons [21]. For DWI and DTI sequences, the peak, mean, standard deviation and height values were extracted from histogram data. Each DWI and DTI sequence were independently processed by the core lab to suppress the extrameningeal structures and to suppress the CSF voxels in order to restrict the histogram analysis only to the brain parenchyma, and to generate the ADC and FA maps. Normalized histograms were then computed over the whole brain and over the normal appearing brain tissue (as defined by subtracting the FLAIR lesion mask from the whole brain mask).

## Data management

### Evaluation criteria

The primary efficacy criteria of the study were lesion changes and brain volume changes between M1 and M24. All these parameters were assessed globally but also with regard to the side of the lesion of the initial ischemic event. The identification of the ipsilateral and contralateral hemispheres allows us to evaluate, in addition to the general progression of the cerebrovascular disease, the specific evolution of the disease in relation to the initial event.

As a criterion of interest for safety the number of emergent microbleeds was identified.

### Statistical analysis

The PERFORM MRI project analyses will be under the supervision of the Biometry Division of the Institut de Recherches Internationales Servier. The analyses will be performed in all patients having taken the study drug and

having M1 and M24 MRI examinations. Efficacy criteria will be analyzed in raw data as well as normalized data (normalization by the intracranial cavity volume) and will be expressed in change or relative change. Covariance analysis models adjusted for M1 value will be used to estimate the treatment effect, its 95% CI and associated *p* value.

The number of emergent microbleeds will be counted by treatment group and a 95% CI of the treatment effect will be provided.

#### Inter- and intra-reader variability

Although all readers were highly qualified clinical stroke MRI readers, multiple readers were assigned to the same read tasks to ensure general consistency. In order to ensure the quality of evaluation results throughout the study and among the readers, the inter- and intra-reader reproducibility was monitored based on double reads of 5% of all evaluations. The criteria for acceptable intra- and inter-variability rates were related to each type of MRI reading task. A threshold was chosen initially for each task and when the differences between two readers were higher than this threshold the readers were asked to repeat their reads after taking consensual decisions in order to improve reproducibility. When the variability was larger than expected, the concerned case was systematically reviewed and a consensual decision was made.

The following criteria for acceptable intra- and inter-rater variability rates between two double-readings were related to the MRI parameters. For global brain volumes a relative threshold of 1% of variability was accepted. For hippocampal volumes or for intracranial cavity volume, 5% of variability was accepted. For lesion volumes both a relative and an absolute thresholds were used: 5% of variability or an absolute volume variation of less than 300 mm<sup>3</sup> was chosen for hyperintense FLAIR lesions, 3% or 100 mm<sup>3</sup> for hypointense FLAIR lesions, and 3% or 300 mm<sup>3</sup> for hyperintense DWI lesions. Regarding microbleeds, these were categorized in four classes (0 bleeds, 1–2 bleeds, 3–10 bleeds, >10 bleeds) and two double-readings were found as discrepant if their results led to classification in a different class.

#### Population baseline characteristics

Recruitment into the PERFORM MRI project started on February 22, 2006 and the last patient was randomized on February 25, 2008. A total of 1,056 patients were randomized from 48 MRI centers in 16 countries; 53% of the population was recruited in western Europe, 13% in

**Table 2** Baseline characteristics of the PERFORM MRI study population

Total patients	1,056
Male, %	65
Mean age, years	68
Age, %	
<65 years	39
≥65 to <75 years	39
≥75 years	22
Ethnicity, %	
Caucasian	81
Asian	13
Black	4
Other	2
Medical history, %	
Hypertension	84
Hypercholesterolemia	50
Type II diabetes mellitus	30
Prior history of cerebral infarction	11
Angina pectoris	6
Hypertriglyceridemia	6
Prior history of TIA	7
Myocardial infarction	7
Peripheral artery disease	3
Previous treatments <sup>a</sup> , %	
Statins	76
Angiotensin-converting enzyme inhibitors	57

<sup>a</sup> Recorded between index stroke and randomization

Australia, 12% in Asia, 11% in Brazil, 8% in eastern Europe and 3% in Canada.

Demographic characteristics and past medical history are presented in Table 2. The mean age of the population was 67.7 ± 8 years (range 55–98 years), with 22% of patients over 75 years. Sixty-five percent of the population was male and 81% of Caucasian origin. The most frequent risk factors were hypertension (84%), hypercholesterolemia (50%), and diabetes (30%). Eleven percent (11%) of the population reported a previous ischemic stroke and 7% a previous TIA, while 7% had a history of myocardial infarction.

At baseline, after the qualifying stroke, 76% of the patients were receiving medical treatment with a statin, and 57% with an angiotensin-converting enzyme inhibitor.

Data relative to the qualifying event and disease characteristics are presented in Table 3.

Eighty-seven percent of the qualifying events were ischemic stroke. According to the pre-specified ischemic stroke classification, atherothrombotic or likely atherothrombotic stroke represents 69% of the ischemic stroke population and 26% had a lacunar stroke.

**Table 3** Qualifying event and stroke characteristics of the PERFORM study population

Qualifying event (%)	
Ischemic stroke	87.4
Transient ischemic attack	12
Arterial retinal ischemic event	0.6
Delay between qualifying event and randomization (%)	
≤1 week	30
>1 week to ≤1 month	40
>1 month	30
Ischemic stroke subtypes (%)	
Atherothrombotic stroke <sup>a</sup>	16
Likely atherothrombotic stroke <sup>a</sup>	53
Cardioembolic stroke <sup>a</sup>	1
Lacunar stroke <sup>a</sup>	26
Coexisting	15
Unknown cause	19
Modified Rankin Scale (%)	
Class 0	28
Class 1	36
Class 2	23
Class 3	8
Class 4	5

<sup>a</sup> Pure and coexisting cause

According to the Modified Rankin Scale scores at baseline, 87% of the patients had scores ≤2, indicative of no or slight disability.

These data were comparable to the main study population characteristics [7].

## Conclusion

The PERFORM MRI study is an exemplary study of a large-scale international multi-centre trial. Although such studies are expected, the complexity and difficulties at various levels have been hindering factors in the past. We describe the rationale, design and practical organization of a large stroke patient MRI trial. The project should provide important data on longitudinal brain disease progression with a follow-up of 2 years.

**Conflict of interest** The organization of the main PERFORM study has been described at length elsewhere [6]. The PERFORM MRI Project is supervised by the MRI Scientific Committee, comprising five members: Prof. M. Hennerici (Chairman), Prof. H. Chabriat, Prof. A. Gass, Prof. P. Maeder, and Dr. P. Michel. BioClinica, Lyon, France is responsible for the image quality control, the image processing and the reading of the MR images. The authors have all received honoraria, research grants, or both from Servier.

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## Appendix

### Principal investigators from MRI centres per country

Australia: C. Bladin, S. Davis, R. Helme, C. Levi, R. Schwartz; Austria: R. Schmidt, M. Vosko, J. Willeit; Belgium: P. Desfontaines, A. Michotte; Brazil: M. T. T. Da Silva, A. C. A. Ferraz, M. S. G. Rocha, J. R. C. B. Silveira; Canada: M. Hill, F. Silver, P. Teal; France: P. Clavelou, I. Crassard, T. De Broucker, C. Lamy, T. Moulin, C. Robin; Germany: J. Berrouschot, A. Grau, G. Hamann, L. Harms, R. Stingege, K. Szabo; Hungary: M. Szabo; Italy: C. Ferrarese, G. Neri, E. Parati; The Netherlands: W. M. Mulleners; Poland: J. Pniewski, K. Selmaj, A. Wajgt; Singapore: H. M. Chang, N. V. Ramani; Spain: A. Arboix Damunt, E. Diez Tejedor, O. Fernandez Fernandez, G. Izquierdo Ayuso, J. Roquer Gonzalez; Switzerland: P. Lyrer, P. Michel; Taiwan: K.-C. Chang; The United Kingdom: M. Dennis, K. Muir.

### MRI project investigators by country

Australia: N. Ferris, S. Hudson, L. Masters, H. Prime, B. Tress; Austria: F. A. Fellner, S. Ropele, D. Zur Nedden; Belgium: T. Stadnik, L. Tshibanda; Brazil: L. Alberto Moreira De Souza, A. C. M. Maia Junior, M. S. Nacif, A. Sarmet Dos Santos; Canada: M. Heran, W. Hu, D. Mikulis; France: F. De Broucker, J. Gabrillargues, J-P. Guichard, Y. Narboux, C. Oppenheim, P. Wagner; Germany: A. Bormann, O. Jansen, R. Kern, R. Klingebiel, G. Layer, K. Wernecke; Hungary: E. Torok; Italy: E. Ciceri, M. Grimaldi, P. Ottaviano; The Netherlands: D. Venderink; Poland: M. Konopka, K. Selmaj, J. Walecki; Singapore: W. E. H. Lim, Y-Y. Sitoh; Spain: L. Ballenilla, C. Caparros-Escudero, A. Fernández Prieto, E. Mundt, J. Perich; Switzerland: P. Maeder, E-W. Radue; Taiwan: Y-L. Kuo; The United Kingdom: K. Muir, J. Wardlaw.

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